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|  | Office of Sponsor and Regulatory Oversight | Document #: 1A |
| | Lexicon | Revision #: 2 |
| | | Effective Date: 04MAY2023 |

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| Adverse Drug Reaction (ADR) | Refer to ICH E6 R2 1.1 . |
| Adverse Event (AE) | Refer to 21 CFR 312.32(a) . |
| Adverse Events of Special Interest (AESI) | Refer to FDA Guidance E2F Development Safety Update Report, Appendix A – Glossary |
| Adjudication Committee | Refer to https://globalclinicaltrialpartners.com/adjudication-clinical-trials-primer/ |
| Applicable Regulatory Requirement(s) | Refer to ICH E6 R2 1.4 . |
| Assent | Refer to 45 CFR 46.402(b) . |
| Associate Investigator | See Investigator . |
| Audit | Refer to ICH E6 R2 1.6 . |
| Audit Certificate | Refer to ICH E6 R2 1.7 . |
| Audit Trail | Refer to ICH E6 R2 1.9 . |

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| Baseline | Refer to CDISC Glossary . |
| Blinding/Masking | Refer to ICH E6 R2 1.10 . |
| Biologic License Application (BLA) | Refer to 21 CFR 601.2(a) . |
| Biologic Product | Refer to 21 CFR 600.3(h) . |

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| Case Report Form (CRF) | Refer to ICH E6 R2 1.11 . |
| Centralized Monitoring | Refer to FDA Guidance for Industry: Oversight of Clinical Investigations – A Risk-Based Approach to Monitoring, III.A.2 . |
| Certified Copy | Refer to ICH E6 R2 1.63 . |
| Chemical, Manufacturing and Control (CMC) | Refer to 21 CFR 312.23(a)(7) |
| Clinical Hold | Refer to 21 CFR 312.42(a) . |
| Clinical Investigator | See Investigator . |
| Clinical Laboratory | Refer to 42 CFR 493.2 (definition of “laboratory”) |
| Clinical Monitoring Plan (CMP) | See Monitoring Plan . |
| Clinical Research | Refer to NIH Glossary . |
| Clinical Research Associate | See Monitor . |
| Clinical Study | See Clinical Trial . |
| Clinical Study Report (CSR) | Refer to ICH E6 R2 1.13 . |

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| Clinical Trial | Refer to ICH E6 R2 1.12. |
| Closeout Visit | A final site visit by a monitor that must be conducted after a study has been completed, suspended or terminated for any reason. |
| Code of Federal Regulations (CFR) | The codification of the general and permanent rules published in the Federal Register by the departments and agencies of the Federal Government. |
| Comparator (Product) | Refer to ICH E6 R2 1.14. |
| Compliance (in relation to trials) | Refer to ICH E6 R2 1.15. |
| Confidentiality | Refer to ICH E6 R2 1.16. |
| Contract | Refer to ICH E6 R2 1.17. |
| Contract Research Organization (CRO) | Refer to ICH E6 R2 1.20. |
| Controlled Document | A document that goes through a structured review process, formal approval, controlled distribution, controlled modification, controlled storage and access; and to which a sequential version number and associated version date are applied and tracked. |
| Coordinating Committee | Refer to ICH E6 R2 1.18. |
| Coordinating Investigator | Refer to ICH E6 R2 1.19. |
| Corrective Action | Refer to ICH Q10. |
| Council for International Organizations of Medical Sciences (CIOMS) | Refer to CIOMS website. |

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| Data Analysis Plan | Refer to ICH E9. |
| Data Management Plan (DMP) | Refer to FDA Patient Focused Drug Development Glossary and FDA Guidance – Computerized Systems Used in Clinical Investigations , the Appendix A – Standard Operating Procedures |
| Data Monitoring Committee (DMC) | Refer to ICH E6 (R2) 1.25. |
| Data Originator | Refer to FDA Guidance – Electronic Source Data in Clinical Investigations. |
| Data Safety Monitoring Board (DSMB) | See Data Monitoring Committee. |
| Deviation (from the Protocol) | Refer to Protocol Non-Adherence |
| Device | Refer to Food, Drug and Cosmetic Act, section 201(h) |
| Diary | See Patient-Reported Outcome (PRO). |
| Digital Signature | Refer to 21 CFR 11.3. |
| Direct Access | Refer to ICH E6 R2 1.21. |
| Direct Data Entry | Refer to FDA Guidance – Electronic Source Data in Clinical Investigations. |
| Documentation | Refer to ICH E6 R2 1.22. |
| Drug Master File (DMF) | Refer to FDA Guidance – Drug Master Files: Guidelines. |

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| Electronic Case Report Form (eCRF) | Refer to FDA Guidance – Electronic Source Data in Clinical Investigations , Glossary of Terms. |
| Electronic Record | Refer to 21 CFR 11.3 . |
| Electronic Signature | Refer to 21 CFR 11.3 . |
| Eligibility | The determination that a potential participant satisfies or meets the enrollment criteria for inclusion into a clinical study. |
| Endpoint | Refer to FDA Clinical Trial Glossary . |
| Enrollment Criteria | The medical or other guidelines that determines whether a person may or may not be allowed to enter a clinical trial. |
| Essential Documents | Refer to ICH E6 (R2) Section 8 . |

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| Finished Device | Refer to 21 CFR 820.3(l) . |
| First-in-human (FIH) | Refer to FDA Guidance: Expansion Cohorts: Use in First-In-Human Clinical Trials to Expedite Development of Oncology Drugs and Biologics Guidance for Industry . |

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| Good Clinical Practice (GCP) | Refer to ICH E6 R2 . |
| Good Laboratory Practice (GLP) | Refer to 21 CFR 58 . |
| Good Manufacturing Practice (GMP) | Refer to 21 CFR 210 and 21 CFR 211 . |

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| Human Subject | Refer to 21 CFR 50.3 . |
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| Independent Data-Monitoring Committee (IDMC) | Refer to ICH E6 R2 1.25 . |
| Impartial Witness | Refer to ICH E6 R2 1.26 . |
| Independent Ethics Committee (IEC) | Refer to ICH E6 R2 1.27 . |
| Instruction for Use (IFU) | Refer to FDA Guidance – Instruction for Use – Patient Labeling for Human Prescription Drug and Biological Products and Drug-Device and Biologic-Device Combination Products – Content and Format and FDA Guidance – Medical Device Patient Labeling |
| Individually Identifiable Health Information | Refer to 45 CFR 160.103 . |

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| Informed Consent | Refer to 21 CFR 50.20 . |
| Informed Consent Form (ICF) | Refer to 21 CFR 50.25 and ICH E6 R2 4.8 . |
| Initiation Visit | A meeting between a sponsor representative(s) and investigators (and other key personnel), at which the objectives and the methodology of the clinical study are defined, regulatory requirements are reviewed, and appropriate training of the site's key personnel is conducted. |
| Inspection | Refer to ICH E6 R2 1.29 . |
| Institutional Review Board (IRB) | Refer to 21 CFR 56.102(g) and ICH E6 R2 1.27 . |
| Interim Study Report | Refer to ICH E6 R2 1.32 . |
| International Council for Harmonization (ICH) | Refer to Overview of ICH . |
| Intervention | Refer to FDA Clinical Trial Glossary |
| Investigational Device | Refer to 21 CFR 812 . |
| Investigational Device Exemption (IDE) | Refer to 21 CFR 812 . |
| Investigational Drug Product | Refer to 21 CFR 312.3(b) . |
| Investigational New Drug Application (IND) | Refer to 21 CFR 312.3(b) . |
| Investigational Product (IP) | Refer to ICH E6 R2 1.33 . |
| Investigator | Refer to ICH E6 R2 1.34 . |
| Investigator's Brochure (IB) | Refer to ICH E6 R2 1.36 . |

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| Label | Refer to 21 CFR 1.3(b) . |
| Labeling | Refer to 21 CFR 1.3(a) . |
| Legally Acceptable Representative (LAR) | Refer to ICH E6 R2 1.37 . |

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| Medical Device | Refer to Food, Drug and Cosmetic Act, section 201(h) |
| Medical Device Report (MDR) | Refer to 21 CFR 803 |
| Memory Aid | See Patient-Reported Outcome (PRO) |
| Monitor or Monitoring (verb) | Refer to ICH E6 R2 1.38 . |

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| Monitor (noun) | Refer to ICH E6 R2 . |
| Monitoring Plan | Refer to ICH E6 R2 1.64 . |
| Monitoring Report | Refer to ICH E6 R2 1.39 . |
| Multicenter Study/Trial | Refer to ICH E6 R2 1.40 . |

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| Nonclinical Laboratory Study | Refer to 21 CFR 58.3(d) . |
| Noncontrolled Document | Document that is not a part of the Quality System, or a printed copy of a document from the Quality System. |
| Noninvasive | Refer to 21 CFR 812.3(k) . |
| Nonsignificant Risk Device (NSR Device) | Refer to FDA Guidance Significant Risk and Nonsignificant Risk Medical Device Studies |

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| Original Medical Record | See Source Documents . |
| Original Source | See Source Documents . |
| Outcome | Refer to FDA Clinical Trial Glossary |

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| Participant | See Subject . |
| Patient-Reported Outcome (PRO) | Refer to FDA Guidance Patient-Reported Outcome Measures: Use in Medical Product Development to Support Labeling Claims . |
| Preventive Action | Refer ICH Q10 Glossary . |
| Principal Investigator (PI) | Refer to Investigator . |
| Protected Health Information (PHI) | Refer to 45 CFR 160.103 . |
| Protocol | Refer to ICH E6 R2 1.44 . |
| Protocol Amendment | Refer to ICH E6 R2 1.45 . |
| Protocol Non-Adherence | See Protocol Violation . |
| Protocol Violation | Refer to CDISC Glossary . |

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| Quality Assurance (QA) | Refer to ICH E6 R2 1.46 . |
| Quality Control (QC) | Refer to ICH E6 R2 1.47 . |

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| Regulatory Authorities | Refer to ICH E6 R2 1.49 . |
| Remote Monitoring Visit | See Centralized Monitoring . |
| Risk | Refer to ICH Q9 . |
| Risk Management | Refer to ICH Q9 and ICH Q10 Glossary . |

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| Safety Signal | Refer to EMA Glossary . |
| Screening (of study subjects/participants) | Refer to CDISC Glossary . |
| Screening Failure | Refer to CDISC Glossary . |
| Serious Adverse Drug Reaction (or Experience) | Refer to 21 CFR 312.32(a) . |
| Serious Adverse Event (SAE) | Refer to 21 CFR 312.32(a) . |
| Serious and Unexpected Suspected Adverse Reaction (SUSAR) | Refer to 21 CFR 312.32(c)(1)(i) . |
| Serious Suspected Adverse Reactions | See Serious Adverse Event . |
| Significant Risk Device (SR Device) | Refer to 21 CFR 812.3(m) . |
| Site Quality Management Plan | A plan developed and implemented by the clinical research site to document, track, and improve performance. Quality management planning and associated Quality Checks (real-time) and Quality Assurance (periodic) activities facilitate effective protocol implementation and compliance with regulatory and GCP requirements, verify the accuracy of data, and identify process areas in need of corrective action. |
| Source Data | Refer to ICH E6 R2 1.51 . |
| Source Documents | Refer to ICH E6 R2 1.52 . |
| Sponsor | Refer to ICH E6 R2 1.53 . |
| Sponsor-Investigator | Refer to ICH E6 R2 1.54 . |
| Statistical Analysis Plan | Refer to ICH E9 Glossary |
| Sub-Investigator | Refer to ICH E6 R2 1.56 . |
| Subject | Refer to ICH E6 R2 1.57 . |
| Subject Identification Code | Refer to ICH E6 R2 1.58 . |
| Suspected Adverse Reaction | Refer to 21 CFR 312.32(a) . |

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| Test Article | Refer to 21 CFR 58.3(b) . |
| Trial Master File (TMF) | Refer to ICH E6 R2 8.1 |

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| Unexpected Adverse Drug Experience (Reaction) | Refer to ICH E6 R2 1.60 . |
| Unanticipated Adverse Device Effects (UADE) | Refer to 21 CFR 812.3(s) |

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| Validation | Refer to FDA Guidance Process Validation: General Principles and Practices . |
| Validation of Computerized Systems | Refer to ICH E6 R2 1.65 . |
| Version Control | See Controlled Document . |
| Vulnerable Subjects | Refer to ICH E6 R2 1.61 . |

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