	Office of Sponsor and Regulatory Oversight	Document #: 501
	Investigational Product Management Policy	Revision #: 1
		Effective Date: 11JUL2024

1. Purpose

To establish and describe the Office of Sponsor and Regulatory Oversight’s (OSRO) Investigational Product Management policy.

2. Scope


- 2.1. OSRO in the Center for Cancer Research (CCR), National Cancer Institute (NCI) shall establish and control the policy.
- 2.2. Investigators, research team members, other departmental personnel, and external NIH collaborators and contractors when they are working on studies conducted under a CCR-held Investigational New Drug (IND) application or Investigational Device Exemption (IDE), participating in a CCR-supported Non-Significant Risk Device Study (NSR) or supported by a CCR-held Master File under OSRO oversight shall comply with the policy.
- 2.3. Limitations
 - 2.3.1. This policy does not apply to personnel working on studies that are not under a CCR-held IND, IDE, or is an NSR device study and/or when no OSRO oversight or interdepartmental collaboration is required.
 - 2.3.2. Nothing in this policy will supersede NCI, National Institutes of Health (NIH) or Health and Human Services (HHS) requirements.

3. Responsibilities

- 3.1. The Center for Cancer Research Management is committed to providing resources to meet the requirements for implementing an Investigational Product Management policy within OSRO and supporting its continual improvement.
- 3.2. OSRO personnel are responsible for understanding and using the Investigational Product Management policy.
- 3.3. The OSRO Director is responsible for establishing and maintaining the Investigational Product Management policy.
- 3.4. OSRO Sponsor and Regulatory Oversight Support (SROS) Contractor staff assisting OSRO Functional Groups are responsible for understanding the Investigational Product Management policy.

4. References

- 4.1. [ICH E6\(R2\)](#) Good Clinical Practice: Integrated Addendum to ICH E6(R1) Guidance for Industry (FDA), March 2018
- 4.2. [ICH E6\(R3\)](#) Good Clinical Practice (GCP) draft Guidance for Industry (FDA), May 2023
- 4.3. [21 CFR Part 312](#) – Investigational New Drug Application
- 4.4. [21 CFR Part 812](#) – Investigational Device Exemptions

	Office of Sponsor and Regulatory Oversight	Document #: 501
	Investigational Product Management Policy	Revision #: 1
		Effective Date: 11JUL2024

5. Definitions

Refer to the OSRO Lexicon.

6. Policy

- 6.1. OSRO, as sponsor, follows sponsor responsibilities recommended in applicable regulations and good clinical practices (see Section 4 for reference list).
- 6.2. The investigational product is characterized as appropriate to the stage of development of the product.
- 6.3. The investigational product is manufactured in accordance with applicable good manufacturing practices (GMP).
- 6.4. The investigational product labeling complies with applicable regulatory requirement(s).
- 6.5. Instructions for handling, storing, and dispensing the investigational product is available to the pharmacy.
- 6.6. The investigational product will be analyzed per an approved stability study to ensure that it is stable over the period of use.
- 6.7. The investigational product will be used according to a signed agreement between the manufacturer and sponsor or sponsor's proxy.
- 6.8. An Investigator's Brochure should be available for the investigational product.
 - 6.8.1. For an investigational product without an Investigator's Brochure, product information (e.g., safety, storage, handling) required for study management must be provided in another document.
- 6.9. The disposition and transfer of unused investigational products are authorized by OSRO.

7. Change Summary

Revision Number	Effective Date	Description of Change
1	11JUL2024	New Document