REINS Functional and PRO Recommendations Applied in NF1 Trials: From Theory to Practice

REINS Winter Meeting 2019 Andrea Gross, MD

Background: Clinical Trial Design

- "Standard" endpoints used in cancer studies (e.g. survival, tumor response) not sufficient for Neurofibromatosis/ Schwannomatosis
- How to develop clinically meaningful endpoints?

Patient Focused Endpoint

Determine if standardized evaluation is representative measure of the patient focused endpoint

Expert
Consensus of
Standardized
Evaluations

Assess implementation of standardized evaluation in trial

Use of Standardized Evaluations in clinical trials



REINS Clinical Trial Recommendations



2013 Neurology Supplement:

| Clincial Trial Endpoint | Recommended Primary Outcome Measure(s) | Recommended Secondary Outcome Measure(s) |
|--------------------------------|---|--|
| Pain | Numeric Rating Scale-11 | |
| Visual Acuity | Teller Acuity Cards | HOTV; Visual Quality of Life PRO |
| Hearing | Maximum Word Recognition Score | Pure tone average |
| Facial Function SMILE analysis | | House-Brackmann Scale |
| Tumor Response | Volumetric MRI | |



REINS Clinical Trial Recommendations



2016 Neurology Supplement

| Clincial Trial Endpoint | Recommended Primary Outcome Measure(s) | Recommended Secondary Outcome Measure(s) |
|----------------------------|---|--|
| Pain Interference | Pain Interference Index (Age 6-24) PROMIS-PI (Age ≥ 18) | |
| Physical Functioning | PROMIS-Physical Functioning (Self report/Parent Proxy | |
| Sleep | Apnea-Hypopnea Index | SpO ₂ , End Tidal CO ₂ , Arousal Index |
| Pulmonary | FEV_1 ($FEV_{0.75}$ for preschoolers) R_{10} | FVC, PEF, Forced Expiratory Flows R ₅ , R ₂₀ |
| Attention | Digit Span WISC-IV (performance-based) Conners Scale (observer-rated) | |

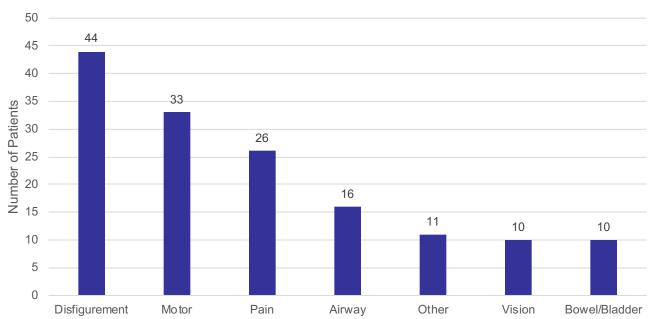


Implementation of REiNS Recommendations in NF1

- Phase 2 Trial of Selumetinib in Inoperable Plexiform Neurofibromas
- Study initiated in 2015
- 50 Pediatric patients enrolled
- Wide variety of PN-related morbidities



PN Related Baseline Morbidities





Challenge #1: Who gets what tests?

- Not all patients need all of the functional and PRO measures
- For SPRINT: PN Location and Morbidity Form

To be completed by the Site PI prior to starting treatment

- Assess PN location(s) by clinical exam and imaging studies (target PN and up to 2 non target PN)
- List morbidities present using numbers below (see list)
- If no morbidity present, list potential morbidities based on PN site(s)
- Assign patient to Stratum 1 or 2 based on the presence/absence of PN-related morbidity

| PN Location | | | | PN Associated Morbidities | | |
|---|---|---|-----|---------------------------|--|-----------------------|
| | R | L | B/L | Pain (Y/N) | Present PN Morbidities (using numbers listed below)/ Comments | Potential Morbidities |
| Orbit | | | | | | |
| Face | | | | | | |
| Ear canal | | | | | | |
| Tongue | | | | | | |
| Anterior neck/upper airway | Ī | | | | | |
| Posterior neck (cervical paraspinal) | | | | | | |
| Mediastinum | | | | | T | |
| Intra-thoracic | | | | | | |
| Thoracic/paraspinal/chest wall | | | | | | |

What about morbidities related to non-target PN?

Page 1 of 2

| Morbidities: | | | |
|------------------------------|---------------------------|-------------------------------|---|
| 1- Vision loss | 4- Difficulty swallowing | 8- Bladder dysfunction | 12- Sensory deficit |
| 2- Facial motor dysfunction | 5- Abnormal speech | 9- Bowel dysfunction | 13- PN related Disfigurement/appearance |
| 3- Auditory loss | 6- Airway obstruction | 10- Motor weakness | |
| • | 7- Respiratory compromise | 11- Decreased range of motion | |
| 14- Other (please describe): | | | |
| | | | |
| | | | • |



Example: Complexity of Functional Evaluations

8 y/o with left neck, arm, airway PN





REINS & SCHWARD OF STREET

Specific Evaluations Based on PN Location/Morbidity:

Orbital PN

☐ Ophthalmologic functional evaluations

Airway PN

- ☑ PFTs/Oscillometry
- ☑ Endurance evaluation: 6-Minute Walk-Run Test

Motor PN (Lower Extremity)

- ☐ Strength evaluation
- □ ROM evaluation
- □ Leg length evaluation
- ☐ Endurance evaluation: 6-Minute Walk-Run Test
- ☐ PROMIS

Motor PN (Upper Extremity)

- Strength evaluation
 ■
 Strength evaluation
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
 ■
- ☑ ROM evaluation
- ☑ PROMIS

Bowel/Bladder PN

☐ Bowel/Bladder Questionnaire

Visible PN, Disfigurement (or Potential Disfigurement)

- ☑ Photography

Other PN

- ☐ PN affecting speech/swallow: Speech Pathology
- Assessment
- □ PN affecting auditory system: Audiology and/or ENT Assessment

Challenge #2: Implementation of PROs

| | PN Morbidity Category | Baseline Evaluation | Timepoint (Exam prior to cycle(s) listed, 1 cycle = 28 days) |
|------------------------------------|-----------------------|------------------------|--|
| Pain Intensity (NRS-11)* | All ≥ 8 years | X | |
| Pain Interference index (PII)* | All ≥ 5 years | X | |
| PedsQL QOL Scales* | All | X | 3, 5, 9, 13 then every 12 cycles |
| Global Impression of Change (GIC)* | All ≥ 5 years | | 11011 0voly 12 0y0100 |
| PROMIS Mobility & Upper Extremity | Motor | X | |

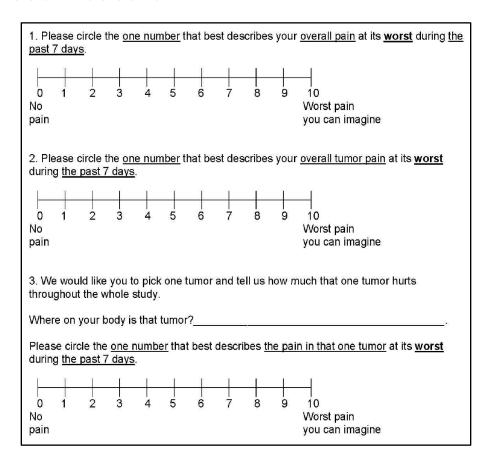


- Required training of all outside sites who were performing the tests
- Completed forms needed to be carefully checked for errors in real time
- Ability to update the form based on patient feedback (e.g. NRS-11)



NRS-11

- Rating pain on scale from 0-10
- REiNS Endorsed Measure

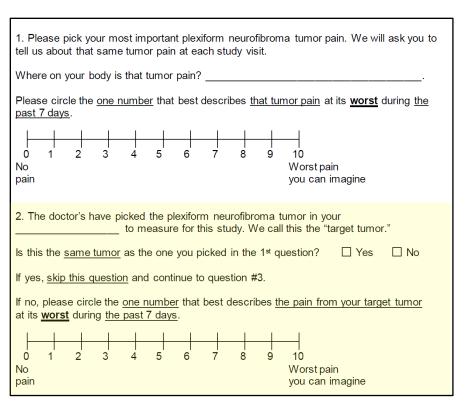


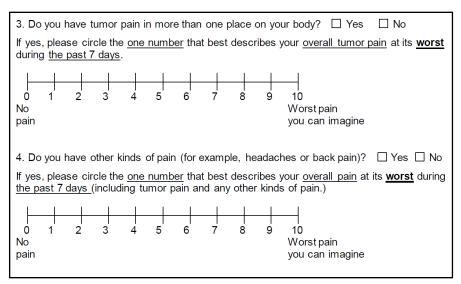


 Ongoing focus groups during the study found that patients could differentiate between different tumor pains and some patients found it helpful to have the tumor selected for them to rate

NRS-11: Revised

 Allowed patients to pick their own tumor which caused the worst pain and then ALSO rate the target PN if it was a different location

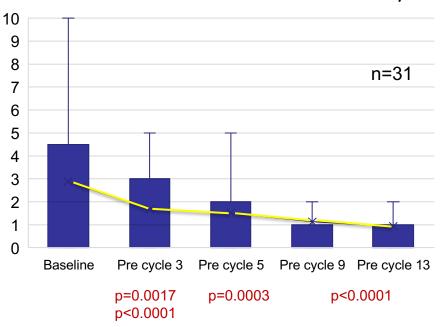




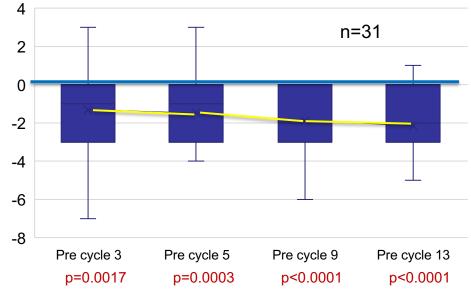


NRS-11 Self-report of Tumor Pain Intensity

Overall Decrease in Tumor Pain Intensity



Change from Baseline in Tumor Pain Intensity



- Includes 5 patient's ratings of 0 (no pain) at baseline
- Excludes 2 patients with only baseline ratings

 By pre-cycle 13, 52% of NRS-11 tumor pain intensity ratings decreased <u>></u>2 points



Challenge #3: Implementation of Functional Evaluations

| | PN Morbidity Category | Baseline Evaluation | Timepoint (Exam prior to cycle(s) listed, 1 cycle = 28 days) |
|--|-----------------------|------------------------|--|
| Photography/Videography | All visible PN | X | |
| Strength Evaluation (Manual Muscle Test (MMT) using MRC scale) | Motor | X | |
| Range of Motion | Motor | X | |
| Leg Length Evaluation, Grooved Pegboard | Motor | X | |
| 6-Minute Walk Test | Motor, Airway | X | |
| Polysomnography | Airway | X | 5, 9, 13 |
| Pulmonary Function Tests (Spirometry, Impulse Oscillometry) | Airway | X | then every 12 cycles |
| Exophthalmometry | Orbital | X | |
| Visual Acuity | Orbital | X | |
| Bowel/Bladder Questionnaire | Bowel/Bladder | X | |
| Audiologic &,Otolaryngology Exam | Other | X | |
| Speech evaluation/Swallow Study | Other | X | |

Airway Assessments

| REINS PROPOSED | TRIAL IMPLEMENTATION |
|---|-------------------------|
| Impulse Oscillometry: R ₁₀ | |
| Spirometry: FEV ₁ (absolute) | |
| Sleep Study: Apnea-Hypopnea Index | |





Airway Results

| Airway Morbidity (n = 16) | MedNian (range) | Median (range) | Median Ratio of PreC13: Baseline (range) |
|---|--------------------|-------------------|--|
| FEV ₁ (liters) (n=11) | 1.32 | 1.36 | 1.15** |
| | (0.64-3.84) | (0.72-4.08) | (0.98–1.97) |
| FEV1 % Predicted (n = 11) | 84 | 92 | 1.021 |
| | (35–110) | (41–131) | (0.88–1.75) |
| Impulse Oscillometry (cmH ₂ O) | 7.01 (2.96–15.5) | 6.08 (2.51–10.76) | 0.78* (0.61–1.17) |
| $R_5 (n = 10)$ | 3.76 (2.54–5.81) | 3.56 (2.54–5.17) | 0.95 (0.76–1.62) |
| R_{20} (n =10) | | | |
| Impulse Oscillometry % | | | |
| Predicted | | | |
| R_5 (n = 10) | 124 (80–317) | 110 (73–194) | 0.83* (0.611.17) |
| R ₂₀ (n =10) | 84.5 (45–133) | 82 (54–118) | 0.95 (0.72–1.64) |

^{*} p<0.05; ** p<0.01; *** p<0.001; using Wilcoxon signed rank test, testing difference of pre-C13 to baseline ratio from 1.0 (no change) or comparing median difference between baseline and pre-Cycle 13 scores

REiNS Clinically Meaningful Thresholds:

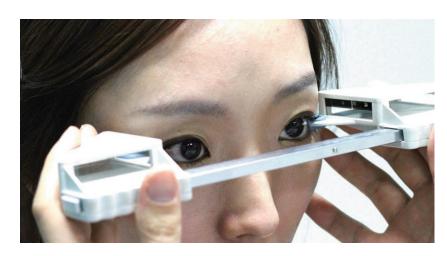
- FEV₁:
 - 7/11 patients had >12% improvement in FEV₁
 - 3/11 patients had >12% improvement FEV₁% pred
- Impulse Oscillometry
 - 5/10 patients had > 20% improvement in R5 absolute and R5 % pred



Orbital Plexiform Disfigurement: Exploratory (Not REiNS Recommended)

Exophthalmometry

- Need to measure from the SAME baseline position everytime
- With facial PN, difficult to get consistent measurements
- Cooperation issues with young children



| AFFECTED Side (n = 10) | | | | | | |
|------------------------|--------------|--------------|-------------------------|--|--|--|
| Study ID | Baseline | PreC13 | Change (PreC13 - BL) | | | |
| 1019001 | 24 | Not measured | N/a | | | |
| 1019002 | 15.67 | Not measured | N/a | | | |
| 1019005 | 14.33 | 15.33 | 1 | | | |
| 1019007 | 7 | 8.67 | 1.67 | | | |
| 1019010 | Not measured | 13 | N/A | | | |
| 2019001 | 33 | 37 | 4 | | | |
| 2019008 | 16 | 16 | 0 | | | |
| 3019001 | Enucleated | Enucleated | N/a | | | |
| 3019007 | Enucleated | Enucleated | N/a | | | |
| 3019009 | Not measured | 24 | N/a | | | |

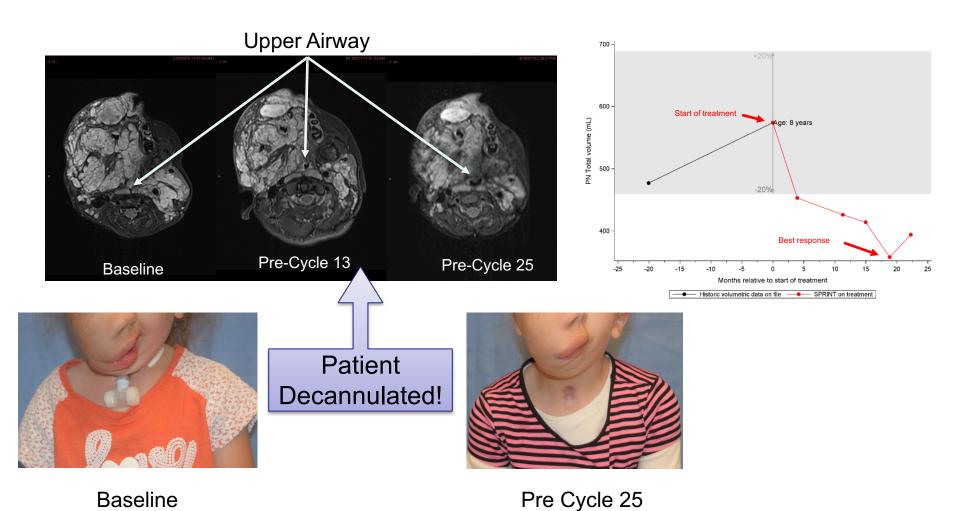
| UNAFFECTED Side (n = 10) | | | | | | |
|--------------------------|--------------|--------------|-------------------------|--|--|--|
| Study ID | Baseline | preC13 | Change (PreC13 - BL) | | | |
| 1019001 | 10 | 8 | -2 | | | |
| 1019002 | 14 | Not measured | N/a | | | |
| 1019005 | 14 | 15.67 | 1.67 | | | |
| 1019007 | 8 | 8.67 | 0.67 | | | |
| 1019010 | Not measured | 13 | N/a | | | |
| 2019001 | 10 | 20 | 10 | | | |
| 2019008 | 11.67 | 15 | 3.33 | | | |
| 3019001 | Not measured | Not Measured | N/a | | | |
| 3019007 | Not Measured | Not Measured | N/a | | | |
| 3019009 | Not measured | 15 | N/a | | | |

Challenge #4: "Other" Morbidities

- Disfigurement: MOST COMMON morbidity
 - No current validated rating scale
 - Have standardized photography developing a scale to evaluate
- Speech/Swallow
 - Narrative reports
 - Need to establish standardized measures
- Tracheostomy patients
 - Unable to undergo standard pulmonary function testing
- Orbital PN causing Enucleation
 - Shrinkage of tumor CAN'T improve vision
 - Importance of PREVENTION



Limitations of Standardized Functional Evaluations: Patient 3019009





Key Conclusions

- REiNS toolbox = essential framework for evaluating functional and PRO endpoints in clinical trials
- REiNS Measurements in SPRINT Study:
 - Able to demonstrate clinically meaningful improvement
 - ALSO learned important lessons about practical implementation of the measurements which can be applied to future trials



Determine if standardized evaluation is representative measure of the patient focused endpoint

Expert Consensus of Standardized Evaluations



Assess implementation of standardized evaluation in trial

Use of Standardized Evaluations in clinical trials

Next Steps...

- Reassessing Current Recommendations
- Expand the current toolbox!
- Recommended Tools Needed For:
 - Disfigurement
 - PN related, cNF related, orbital PN
 - Skeletal endpoints
 - Motor Function
 - Bowel/Bladder dysfunction
 - Speech/swallow endpoints





Work ongoing in REiNS and elsewhere!





Any Questions?



